RD 28,149

## **AMENDMENT TO THE CLAIMS**

1. (Currently amended) An apparatus for *in situ* monitoring of molten polycarbonate polymer and/or oligomer composition comprising:

a light source;

a fiber optic transmission probe, wherein said probe transmits at least one substantially monochromatic radiation from said light source to irradiate a molten sample comprising at least one polycarbonate polymer and/or oligomer and collects light transmitted from said irradiated sample;

a spectrophotometer, wherein said spectrophotometer monitors radiation comprising UV/visible light absorbed by said irradiated sample; and

a data analysis system, wherein said data analysis system correlates absorbance to at least one predetermined reaction component in said molten polycarbonate polymer and/or oligomer sample to provide real-time monitoring of the composition of said polycarbonate during production.

- 2. (Original) The apparatus of claim 1, wherein said probe is maintained at a substantially constant temperature.
- 3. (Original) The apparatus of claim 1, wherein said probe comprises a high temperature probe.
- 4. (Original) The apparatus of claim 3, wherein said probe is immersed in the polymer sample.
- 5. (Original) The apparatus of claim 3, wherein said probe operates at a temperature in the range from  $200^{\circ}$ C to  $400^{\circ}$ C.
- 6. (Original) The apparatus of claim 3, wherein said probe operates at a temperature in the range from 250°C to 350°C.
- 7. (Original) The apparatus of claim 3, wherein said probe operates at a temperature in the range from 260°C to 330°C.

RD 28,149

- 8. (Original) The apparatus of claim 1, further comprising a filter positioned between said light source and said spectrophotometer.
- 9. (Original) The apparatus of claim 1, wherein said data analysis system comprises univariate analysis.
- 10. (Original) The apparatus of claim 1, wherein said data analysis system comprises multivariate analysis.
- 11. (Canceled)
- 12. (Original) The apparatus of claim 11, wherein said polycarbonate comprises melt polycarbonate.
- 13. (Original) The apparatus of claim 12, wherein said melt polycarbonate is produced by polymerization of bisphenol A (BPA) and diphenyl carbonate (DPC).
- 14. (Original) The apparatus of claim 1, wherein said reaction component comprises uncapped phenolic end-groups.
- 15 (Original) The apparatus of claim 1, wherein said reaction component comprises Fries products.
- 16. (Currently amended) The apparatus of claim 15, wherein said Fries rearrangement products consist of linear Fries products.
- 17. (Currently amended) The apparatus of claim 15, wherein said Fries rearrangement products consist of branched Fries products.
- 18. (Original) The apparatus of claim 15, wherein said monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 250 to 450 nm.
- 19. (Original) The apparatus of claim 15, wherein said monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 280 to 400 nm.

- 20. (Original) The apparatus of claim 15, wherein said monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 290 to 330 nm.
- 21. (Original) The apparatus of claim 15, wherein said monitored absorbance comprises a wavelength of about 320 nm.
- 22. (Original) The apparatus of claim 1, wherein said monitored absorbance is correlated to predetermined reaction components comprising Fries products and uncapped phenolic end-groups.
- 23. (Original) The apparatus of claim 22, wherein said monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 250 to 450 nm.
- 24. (Original) The apparatus of claim 22, wherein said monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 260 to 400 nm.
- 25. (Original) The apparatus of claim 22, wherein said monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 270 to 340 nm.
- 26. (Original) Computer readable media comprising software code for the apparatus of claim 1.
- 27. (Currently amended) A method for *in situ* monitoring of molten polycarbonate polymer and/or oligomer composition comprising:

providing an optical contact between a fiber optic probe and a stream of a molten sample comprising at least one polycarbonate polymer and/or oligomer;

irradiating the molten sample with at least one wavelength of substantially monochromatic radiation;

monitoring UV/visible light adsorbed by the molten sample; and

correlating the UV/visible light absorbed by the irradiated molten sample to levels of at least one reaction component of interest in said molten polycarbonate polymer and/or oligomer sample to provide real-time monitoring of the composition of said polycarbonate during production.

- 28. (Original) The method of claim 27, wherein the probe is maintained at a substantially constant temperature.
- 29. (Original) The method of claim 27, further comprising using a high temperature probe for irradiating the polymer and collecting light transmitted from the polymer.
- 30. (Original) The method of claim 29, wherein the probe is immersed directly in the polymer sample.
- 31. (Original) The method of claim 29, wherein said probe operates at a temperature in the range from  $200^{\circ}$ C to  $400^{\circ}$ C.
- 32. (Original) The method of claim 29, wherein said probe operates at a temperature in the range from 250°C to 350°C.
- 33. (Original) The method of claim 29, wherein said probe operates at a temperature in the range from 260°C to 330°C.
- 34. (Original) The method of claim 27, wherein the sample comprises melt polycarbonate.
- 35. (Original) The method of claim 34, wherein the melt polycarbonate is produced by polymerization of bisphenol A (BPA) and diphenyl carbonate (DPC).
- 36. (Original) The method of claim 27, wherein the step of correlating the UV/visible light absorbed by the irradiated molten sample to levels of a reaction component of interest further comprises univariate analysis.

RD 28,149

- 37. (Original) The method of claim 27, wherein the step of correlating the UV/visible light absorbed by the irradiated molten sample to levels of a reaction component of interest further comprises multivariate analysis.
- 38. (Original) The method of claim 27, wherein the reaction component comprises uncapped phenolic end-groups.
- 39. (Currently amended) The method of claim 27, wherein the reaction component comprises Fries <u>products</u>.
- 40. (Currently amended) The method of claim 39, wherein the reaction component consists of linear Fries <u>products</u>.
- 41. (Currently amended) The method of claim 39, wherein the reaction component consists of branched Fries products.
- 42. (Original) The method of claim 39, wherein the monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 250 to 450 nm.
- 43. (Original) The method of claim 39, wherein the monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 280 to 400 nm.
- 44. (Original) The method of claim 39, wherein the monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 290 to 330 nm.
- 45. (Original) The method of claim 39, wherein the monitored absorbance comprises a wavelength of about 320 nm.
- 46. (Original) The method of claim 27, wherein the monitored absorbance is correlated to reaction components comprising Fries products and uncapped phenolic endgroups.

RD 28,149

- 47. (Original) The method of claim 46, wherein the monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 250 to 450 nm.
- 48. (Original) The method of claim 46, wherein the monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 260 to 400 nm.
- 49. (Original) The method of claim 46, wherein the monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 270 to 340 nm.
- 50. (Canceledl)
- 51. (Original) The method of claim 27, wherein irradiation and monitoring of light absorbed is performed on combinatorial libraries of samples.
- 52. (Previously presented) The method of claim 27, further comprising evaluating the monitored absorbance to determine whether any one of a set of preselected reaction components needs to be adjusted.
- 53. (Original) Computer readable media comprising software code for performing the method of claim 24.
- 54. (Original) A method for real time monitoring of molten polycarbonate composition during production comprising:

positioning an optical probe in optical contact with a stream of molten sample comprising at least one polymer and/or oligomer such that the probe maintains a substantially constant temperature;

irradiating the molten sample with at least one wavelength of substantially monochromatic radiation;

monitoring UV/visible light absorbed by the irradiated sample; and correlating the light absorbed by the irradiated sample to levels of Fries products.

55. (Original) A method for real time monitoring of molten polycarbonate composition during production comprising:

positioning an optical probe in optical contact with a stream of molten sample comprising at least one polymer and/or oligomer, such that the probe comprises a substantially constant temperature;

irradiating the molten sample with at least two wavelengths of substantially monochromatic radiation;

monitoring UV/visible light absorbed by the irradiated sample; and correlating the light absorbed by the irradiated sample to levels of Fries products and phenolic end-groups.

56. (Original) A method for real time monitoring of molten polycarbonate composition during production comprising:

positioning an optical probe in optical contact with a stream of molten sample comprising at least one polymer and/or oligomer, such that the probe comprises a substantially constant temperature;

irradiating the molten sample with at least three wavelengths of substantially monochromatic radiation;

monitoring UV/visible light absorbed by the irradiated sample; and correlating the light absorbed by the irradiated sample to levels of linear Fries products, branched Fries products, and phenolic end-groups.

- 57. (New) An apparatus for *in situ* monitoring of molten polycarbonate polymer and/or oligomer composition comprising:
  - a light source;
- a fiber optic transmission probe, wherein said probe transmits at least one substantially monochromatic radiation from said light source to irradiate a molten sample comprising at least one polycarbonate polymer and/or oligomer and collects light transmitted from said irradiated sample;
- a spectrophotometer, wherein said spectrophotometer monitors radiation comprising UV/visible light absorbed by said irradiated sample; and

RD 28.149

a data analysis system, wherein said data analysis system correlates absorbance to at least one predetermined reaction component in said molten polycarbonate polymer and/or oligomer sample, and wherein said reaction component comprises uncapped phenolic end-groups.

- 58. (New) An apparatus for *in situ* monitoring of molten polycarbonate polymer and/or oligomer composition comprising:
  - a light source;
- a fiber optic transmission probe, wherein said probe transmits at least one substantially monochromatic radiation from said light source to irradiate a molten sample comprising at least one polycarbonate polymer and/or oligomer and collects light transmitted from said irradiated sample;
- a spectrophotometer, wherein said spectrophotometer monitors radiation comprising UV/visible light absorbed by said irradiated sample; and
- a data analysis system, wherein said data analysis system correlates absorbance to at least one predetermined reaction component in said molten polycarbonate polymer and/or oligomer sample, and wherein said reaction component comprises Fries products.
- 59. (New) The apparatus of claim 58, wherein said Fries products consist of linear Fries products.
- 60. (New) The apparatus of claim 58, wherein said Fries products consist of branched Fries products.
- 61. (New) The apparatus of claim 58, wherein said monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 250 to 450 nm.
- 62. (New) The apparatus of claim 58, wherein said monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 280 to 400 nm.
- 63. (New) The apparatus of claim 58, wherein said monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 290 to 330 nm.

- 64. (New) The apparatus of claim 58, wherein said monitored absorbance comprises a wavelength of about 320 nm.
- 65. (New) The apparatus of claim 58, wherein said data analysis system comprises multivariate analysis.
- 66. (New) An apparatus for *in situ* monitoring of molten polycarbonate polymer and/or oligomer composition comprising:
  - a light source;
- a fiber optic transmission probe, wherein said probe transmits at least one substantially monochromatic radiation from said light source to irradiate a molten sample comprising at least one polycarbonate polymer and/or oligomer and collects light transmitted from said irradiated sample;
- a spectrophotometer, wherein said spectrophotometer monitors radiation comprising UV/visible light absorbed by said irradiated sample; and
- a data analysis system, wherein said data analysis system correlates absorbance to at least one predetermined reaction component in said molten polycarbonate polymer and/or oligomer sample, and wherein said monitored absorbance is correlated to Fries products and uncapped phenolic end-groups.
- 67. (New) The apparatus of claim 66, wherein said monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 250 to 450 nm.
- 68. (New) The apparatus of claim 66, wherein said monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 260 to 400 nm.
- 69. (New) The apparatus of claim 66, wherein said monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 270 to 340 nm.
- 70. (New) The apparatus of claim 66, wherein said data analysis system comprises multivariate analysis.

71. (New) A method for *in situ* monitoring of molten polycarbonate polymer and/or oligomer composition comprising:

providing an optical contact between a fiber optic probe and a stream of a molten sample comprising at least one polycarbonate polymer and/or oligomer;

irradiating the molten sample with at least one wavelength of substantially monochromatic radiation;

monitoring UV/visible light adsorbed by the molten sample; and correlating the UV/visible light absorbed by the irradiated molten sample to levels of at least one reaction component of interest in said molten polycarbonate polymer and/or oligomer sample, wherein the reaction component comprises uncapped phenolic end-groups.

72. (New) A method for *in situ* monitoring of molten polycarbonate polymer and/or oligomer composition comprising:

providing an optical contact between a fiber optic probe and a stream of a molten sample comprising at least one polycarbonate polymer and/or oligomer;

irradiating the molten sample with at least one wavelength of substantially monochromatic radiation;

monitoring UV/visible light adsorbed by the molten sample; and correlating the UV/visible light absorbed by the irradiated molten sample to levels of at least one reaction component of interest in said molten polycarbonate polymer and/or oligomer sample, wherein the reaction component comprises Fries products.

- 73. (New) The method of claim 72, wherein the reaction component consists of linear Fries products.
- 74. (New) The method of claim 72, wherein the reaction component consists of branched Fries products.
- 75. (New) The method of claim 72, wherein the monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 250 to 450 nm.

- 76. (New) The method of claim 72, wherein the monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 280 to 400 nm.
- 77. (New) The method of claim 72, wherein the monitored absorbance comprises at least one substantially monochromatic wavelength in the range of 290 to 330 nm.
- 78. (New) The method of claim 72, wherein the monitored absorbance comprises a wavelength of about 320 nm.
- 79. (New) The method of claim 72, wherein the step of correlating the UV/visible light absorbed by the irradiated molten sample to levels of a reaction component of interest further comprises multivariate analysis.
- 80. (New) A method for *in situ* monitoring of molten polycarbonate polymer and/or oligomer composition comprising:

providing an optical contact between a fiber optic probe and a stream of a molten sample comprising at least one polycarbonate polymer and/or oligomer;

irradiating the molten sample with at least one wavelength of substantially monochromatic radiation;

monitoring UV/visible light adsorbed by the molten sample; and

correlating the UV/visible light absorbed by the irradiated molten sample to levels of at least one reaction component of interest in said molten polycarbonate polymer and/or oligomer sample, wherein the monitored absorbance is correlated to Fries products and uncapped phenolic end-groups.

- 81. (New) The method of claim 80, wherein the monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 250 to 450 nm.
- 82. (New) The method of claim 80, wherein the monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 260 to 400 nm.
- 83. (New) The method of claim 80, wherein the monitored absorbance comprises at least two substantially monochromatic wavelengths in the range of 270 to 340 nm.

- 84. (New) The method of claim 80, wherein the step of correlating the UV/visible light absorbed by the irradiated molten sample to levels of a reaction component of interest further comprises multivariate analysis.
- 85. (New) A method for *in situ* monitoring of molten polycarbonate polymer and/or oligomer composition comprising:

providing an optical contact between a fiber optic probe and a stream of a molten sample comprising at least one polycarbonate polymer and/or oligomer;

irradiating the molten sample with at least one wavelength of substantially monochromatic radiation;

monitoring UV/visible light adsorbed by the molten sample; and correlating the UV/visible light absorbed by the irradiated molten sample to levels of at least one reaction component of interest in said molten polycarbonate polymer and/or oligomer sample, further comprising evaluating the monitored absorbance to determine whether any one of a set of preselected reaction components needs to be adjusted.